



**MINUTES OF A MEETING OF THE
AUDIT AND GOVERNANCE COMMITTEE
HELD ON 29th JANUARY 2015**

PRESENT: Councillor M Gant (Chair), Councillors J Chesworth, J Faulkner, R Kingstone and P Seekings

Officers John Wheatley (Executive Director Corporate Services), Jane Hackett (Solicitor to the Council and Monitoring Officer), Angela Struthers (Head of Internal Audit Services) and Nicki Burton (Director - Technology and Corporate Programmes)

Visitors Joan Bellingall and Kyla Bellinghall

37 APOLOGIES FOR ABSENCE

Apologies for absence were received from Councillor M Couchman

38 MINUTES OF THE PREVIOUS MEETING

The minutes of the meeting held on 30th October 2014 were approved and signed as a correct record.

(Moved by Councillor J Chesworth and seconded by Councillor J Faulkner)

39 DECLARATIONS OF INTEREST

There were no declarations of Interest.

40 IMPLEMENTATION OF ICT AUDIT RECOMMENDATIONS

Report of the Director, Technology & Corporate Programmes to brief Members of the Audit & Governance Committee on actions taken to date and future planned activities to review and implement outstanding audit recommendations was considered.

RESOLVED: That

Committee Members endorsed the information and actions contained within this report.

(Moved by Councillor M Gant and seconded by Councillor J Faulkner)

Motion was passed to change the order of business under Rule 4.13 (c) taking item 11 as item 4

41 REGULATION OF INVESTIGATORY POWERS ACT 2000 - QUARTERLY REPORT

The Report of the Solicitor to the Council and Monitoring Officer informing Members of the surveillance carried out under the Regulation of Investigatory Powers Act 2000 was considered.

RESOLVED: That the Quarterly RIPA Monitoring Report be endorsed

(Moved by Councillor J Faulkner and seconded by Councillor J Chesworth)

42 CERTIFICATION REPORT 2013-14

The Report of Grant Thornton (External Auditor) was considered.

RESOLVED: That the certification report 2013/14 was endorsed.

(Moved by Councillor M Gant and seconded by Councillor J Faulkner)

43 AUDIT COMMITTEE UPDATE -JANUARY 2015

The Report of Grant Thornton (External Auditor) was considered.

RESOLVED: That the report be endorsed

(Moved by Councillor M Gant and seconded by Councillor J Chesworth)

44 VALUE STATEMENT

The Report of Grant Thornton (External Auditor) was considered

RESOLVED: That the report be endorsed

(Moved by Councillor J Faulkner and seconded by Councillor M Gant)

45 UPDATE REPORT ON PREVIOUSLY REPORTED HIGH PRIORITY RECOMMENDATIONS

The report of the Head of Internal Audit Services to inform the committee on the current status of the previously reported high priority recommendations not implemented at the previous implementation review was considered.

RESOLVED: That the committee endorsed the report

(Moved by Councillor M Gant and seconded by Councillor J Faulkner)

46 RISK MANAGEMENT UPDATE 2014/15

The Report of the Head of Internal Audit Services reporting on the Risk Management process and progress to date for the current financial year was considered.

RESOLVED: That the Committee endorsed the report.

(Moved by Councillor J Chesworth and seconded by Councillor R Kingstone)

47 INTERNAL AUDIT QUARTERLY REPORT 2014/15 QUARTER 3

The Report of the Head of Internal Audit Services reporting on the outcome of Internal Audit's review of the internal control, risk management and governance framework in the 3rd quarter of 2014/15 – to provide members with assurance of the ongoing effective operation of an internal audit function and enable any particularly significant issues to be brought to the Committee's attention was considered.

RESOLVED: That
the quarterly report be endorsed

(Moved by Councillor M Gant and seconded by Councillor J

Chesworth)

48 AUDIT AND GOVERNANCE COMMITTEE TIMETABLE

The Committee reviewed the draft timetable.

Chair

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